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| MARK D  |   | Appli   | ication N               | Number                     | 10/7     | 751,041  | l        |      |             |   |
|   |   | Filing  | g Date                  |                            | Dec      | cember   | 31, 2    | 003  |             |   |
| TRANSMITTAL FOR   | <b>M</b>                                | First   | Named                   | Inventor                   | Rot      | bert Ke  | hl Sin   | k    |             |   |
| (to be used for all correspondence during pe<br>filed application)  | ∍ndency of                              | Grou  | ıp Art Ur               | nit Number                 | 373      | 9        |          |      |             |   |
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| Total Number of Pages in This Submission  | 5*                                      | Attorr  | ney Doo                 | ket Number                 | 239      | 20-083   | 35       |      |             |   |
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| Fee Transmittal Form (in duplicate)   |   | 1   |                         | ue Fee Transr              |          |          |          |      |             |   |
| Check Enclosed  |   | [   | Let                     | ter to Chief Dr            | raftspe  | erson    |          |      |             |   |
| Return Receipt Postcard   |   | ] [   | For                     | mal Drawing(s              | s):      |          |          |      |             |   |
| Response to Notice to File Missing Part   |   |   |                         | [ ] Sheet(s                |          |          |          |      |             |   |
| Assignment & Recordation Cover Shee   | it                                      | L   |                         | peal Communi<br>erferences | ication  | to Board | d of App | eals | and         |   |
| Declaration   |   | 1   | _                       |                            | 'tion    | to Oron  | _        |      |             |   |
| Power of Attorney Application Data Sheet  |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |                         |                            |          |          |          |      |             |   |
| Supplemental Information Disclosure St  | tatement &                              | Certified Copy of Priority Document(s)                            |                         |                            |          |          |          |      |             |   |
| PTO/SB/08A  |   |   |                         |                            |          |          |          |      |             |   |
| Copy of IDS Cited Non-Paten   | it Reference                            | After Allowance Communication to Group                            |                         |                            |          |          |          |      |             |   |
| Request for Corrected Filing Receipt  |   | L   |                         |                            |          |          |          |      |             |   |
| Request for Correction of Recorded Ass  | signment                                | L   | <u> </u>                |                            |          |          |          |      |             |   |
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| Revocation and Substitute Power of Att  | łomey                                   | [   |                         | <del>* '</del> -           |          |          |          |      | <del></del> |   |
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| REMARKS: *Page count does not include cit   | ted reference                           | <b>)</b> .  |                         |                            |          |          |          |      |             |   |
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| Signature: Malaclu >  | _                                       |   |                         |                            |          |          |          |      |             |   |
| Attorney/Reg. No.: Michael W. Farn, Reg   | j. No. 41,015                           | ;   |                         |                            |          | Dated:   | DEZ      | 5/3  | 2005        |   |
| CERTIFICATE OF MAILING  |   |   |                         |                            |          |          |          |      |             |   |
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |   |   |                         |                            |          |          |          |      |             |   |
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| Typed or Printed Name: Michael W. Farr  | n                                       |   |                         |                            |          | Dated:   | Dec.     | 5    | 2005-       |   |
| Express Mail Mailing Number (optional):   | express Mail Mailing Number (optional): |   |                         |                            |          |          |          |      |             |   |

## DEC 0 8 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Robert Kehl Sink

APPLICATION NO.:

10/751,041

FILING DATE:

December 31, 2003

TITLE:

Multi-Spot Laser Surgical Apparatus and Method

**EXAMINER:** 

Henry M. Johnson III

**GROUP ART UNIT:** 

3739

ATTY. DKT. NO.:

23920-08335

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

1)EC 5, 2005

Bv

Michael W. Farn, Reg. No.: 41,015

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

| within three months of the filing date of the application, or date of entry into  |
|---|
| the national stage of an international application, or before the mailing date of |
| a first office action on the merits, whichever event last occurred;               |
| before the mailing of a first official action after the filing of a request for   |
| continued examination (RCE) under 37 CFR § 1.114;                                 |

| $\boxtimes$ | after three months of the filing date of this national application or the dat       |             |  |  |  |  |  |  |
|-------------|---|-------------|--|--|--|--|--|--|
|             | ational stage in an international application, or after the mailing                 |             |  |  |  |  |  |  |
|             | date of the first official action on the merits, whichever event last occurred, but |             |  |  |  |  |  |  |
|             | before the mailing date of the first to occur of either: (1) a final action under   |             |  |  |  |  |  |  |
|             | 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the            |             |  |  |  |  |  |  |
|             | applica   | ation, a    | nd:  |  |  |  |  |  |
|             |   | attacl      | attached hereto is the fee set forth under 37 CFR §1.17(p) for       |  |  |  |  |  |
|             |   | subm        | submission of this Information Disclosure Statement under 37 CFR.§   |  |  |  |  |  |
|             |   | 1.97(       | 1.97(c); OR  |  |  |  |  |  |
|             | $\boxtimes$   | Appli       | icant certifies pursuant to 37 CFR § 1.97(e) that:                   |  |  |  |  |  |
|             |   | $\boxtimes$ | each item of information contained in this Information               |  |  |  |  |  |
|             |   |             | Disclosure Statement was first cited in a communication from         |  |  |  |  |  |
|             |   |             | a foreign patent office in a counterpart foreign application not     |  |  |  |  |  |
|             |   |             | more than three months prior to the filing of this Statement;        |  |  |  |  |  |
|             |   |             | OR   |  |  |  |  |  |
|             |   |             | no item of information contained in this Information                 |  |  |  |  |  |
|             |   |             | Disclosure Statement was cited in a communication from a             |  |  |  |  |  |
|             |   |             | foreign patent office in a counterpart foreign application and,      |  |  |  |  |  |
|             |   |             | to the knowledge of the person signing this certification after      |  |  |  |  |  |
|             |   |             | making reasonable inquiry, no item of information contained          |  |  |  |  |  |
|             |   |             | in this Statement was known to any individual designated             |  |  |  |  |  |
|             |   |             | under 37 CFR § 1.56(c) more than three months prior to the           |  |  |  |  |  |
|             |   |             | filing of this Statement;  |  |  |  |  |  |
|             | on or   | before      | the payment of the issue fee but after the mailing date of the first |  |  |  |  |  |
|             | to occ  | cur of ei   | ither: (1) a final action under 37 CFR § 1.113; (2) a notice of      |  |  |  |  |  |
|             | allow   | ance un     | ider 37 CFR § 1.311; or (3) an action that otherwise closes          |  |  |  |  |  |
|             | prosecution in the application, and:  |             |  |  |  |  |  |  |
|             |   | Appli       | cant certifies pursuant to 37 CFR. § 1.97(e) that:                   |  |  |  |  |  |
|             |   |             | each item of information contained in this Information               |  |  |  |  |  |
|             |   |             | Disclosure Statement was cited in a communication from a             |  |  |  |  |  |
|             |   |             | foreign patent office in a counterpart foreign application not       |  |  |  |  |  |
|             |   |             | more than three months prior to the filing of this Statement;        |  |  |  |  |  |
|             |   |             | no item of information contained in this Information                 |  |  |  |  |  |
|             |   |             | Disclosure Statement was cited in a communication from a             |  |  |  |  |  |
|             |   |             | foreign patent office in a counterpart foreign application and,      |  |  |  |  |  |
|             |   |             | to the knowledge of the person signing this certification after      |  |  |  |  |  |
|             |   |             | making reasonable inquiry, no item of information contained          |  |  |  |  |  |

|        |             |             |          | in this Statement was known to any individual designated                 |
|--------|-------------|-------------|----------|--|
|        |             |             |          | under 37 CFR § 1.56(c) more than three months prior to the               |
|        |             |             |          | filing of this Statement; AND  |
|        |             |             |          | attached hereto is the fee set forth under 37 CFR §1.17(p) for           |
|        |             |             |          | submission of this Information Disclosure Statement under 37 CFR.§       |
| •      |             |             |          | 1.97(d); OR  |
|        |             | П           | after th | ne payment of the issue fee. Applicant requests that the information     |
|        |             |             |          | ned in this Information Disclosure Statement be placed in the file       |
|        |             |             |          | ing to 37 CFR § 1.97(i), although the information may not be             |
|        |             |             |          | ered by the USPTO.   |
|        |             | Enclos      |          | copy of each listed reference that may be material to the examination of |
|        |             |             |          | n, and for which there may be a duty to disclose.                        |
|        |             | -           |          | ·  |
|        |             |             |          | on relies, under 35 U.S.C. § 120, on the earlier filing date of prior    |
|        |             |             |          | o, filed on, and the references cited therein are hereby                 |
|        |             |             |          | at are not required to be provided in this application under 37 CFR §    |
|        | _           | 1.98(d)     |          |  |
|        | $\boxtimes$ | -           | •        | on was filed after June 30, 2003. Therefore, pursuant to the waiver of   |
|        |             |             |          | nts under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each      |
|        |             |             |          | pplication Publication are not required to be submitted. Copies of any   |
|        |             | _           | -        | documents and non-patent literature cited herein are enclosed.           |
|        |             | Each it     | tem of i | nformation contained in this Information Disclosure Statement was        |
|        |             | cited in    | n a com  | munication from a foreign patent office in a counterpart application,    |
|        |             | and the     | comm     | unication was not received by any individual designated in 37 CFR §      |
|        |             | 1.56(c)     | ) more t | han thirty days prior to the filing of this Information Disclosure       |
|        |             | Statem      | ent. 37  | CFR § 1.704(d).  |
|        | $\boxtimes$ | Applic      | ant sub  | mits that no fee is required for the consideration of this Information   |
|        |             | Disclo      | sure Sta | itement.   |
|        | Consid      | leration    | of the 1 | isted references and favorable action are solicited.                     |
|        |             |             |          | Respectfully submitted,  |
|        |             |             |          | ROBERT KEHL SINK   |
|        |             |             |          | · » / ¬  |
| Dated: | 0,-         | 5,20        | • •      | By: Whatael J-   |
| Daicu. | <u> </u>    | <u>, ra</u> | ,,       | Michael W. Farn, Reg. No. 41,015   |
|        |             |             |          | Fenwick & West LLP   |
|        |             |             |          | Silicon Valley Center  |
|        |             |             |          | 801 California Street  |
|        |             |             |          | Mountain View, CA 94041 Tel.: (650) 335-7823                             |
|        |             |             |          | Fax.: (650) 938-5200   |
|        |             |             |          | •  |

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| PTO/SB/08A (10-01)  Approved for use through 10/31/2002. OMB 0651-0031  U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.  Substitute for form 1449A/PTO  Complete if Known |   |           |          |                        |                      |  |  |
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| TOE ARK  | Substitute for t                              | orm 1449. | A/PTO    |                        | Complete if Known    |  |  |
|  |   | פוחו      | CLOSUDE  | Application No.        | 10/751,041           |  |  |
|  | INFORMATION DISCLOSURE STATEMENT BY APPLICANT |           |          |                        | December 31, 2005    |  |  |
| اد   | AIEMENI                                       | o i Ai    | PPLICANT | First Named Inventor   | Robert Kehl Sink     |  |  |
|  |   |           |          | Art Unit               | 3739                 |  |  |
| ·  |   |           |          | Examiner Name          | Henry M. Johnson III |  |  |
| Sheet  | 1   | of        | 1        | Attorney Docket Number | 23920-08335          |  |  |

|                    | U.S. PATENT DOCUMENTS |  |                    |   |  |  |  |
|--------------------|-----------------------|--|--------------------|---|--|--|--|
|                    |                       | Document No.                               |                    |   |  |  |  |
| Examiner Initials* | Cite<br>No.1          | Number – Kind Code <sup>2</sup> (if known) | Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |  |  |  |
|                    | A1                    | US-2005/0018199 A1                         | 01-27-2005         | LeBlanc   |  |  |  |
|                    | A2                    | US-2003/0045916 A1                         | 03-06-2003         | Anderson et al.                                 |  |  |  |
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|                    |                       | US-  |                    |   |  |  |  |
|                    |                       | US-  |                    |   |  |  |  |

| FOREIGN PATENT DOCUMENTS |              |   |                                |  |                |  |
|--------------------------|--------------|---|--------------------------------|--|----------------|--|
|                          |              | Foreign Patent Document   |                                |  |                |  |
| Examiner<br>Initials*    | Cite<br>No.1 | Country Code <sup>3</sup> –<br>Number <sup>4</sup><br>Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup> |  |
|                          |              |   |                                |  |                |  |
|                          |              |   |                                | •  |                |  |

| OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS |              |  |                |  |  |  |
|--|--------------|--|----------------|--|--|--|
| Examiner<br>Initials*                              | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>6</sup> |  |  |  |
|  | C1           | Notification of Transmittal of the International Search Report and the Written Opinion, PCT/US04/43515, November 15, 2005, 8 pages.  |                |  |  |  |
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| Examiner  | , | Date       |  |
|-----------|---|------------|--|
| Signature |   | Considered |  |
|           |   |            |  |

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.